TO THE ASSISTANT COMMISSIONER FOR PATENTS

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UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. CMI-470

Total Pages in this Subm



Box Patent Application Washington, D.C. 20231 Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME and invented by: Douglas L. Michalsky Phillips Edwin Carr **Edwin Lee Hankinson** If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information: Continuation 🔲 Divisional 🔲 Continuation-in-part(CIP) of prior application No.: Which is a: 🔲 Continuation 🔲 Divisional 🔲 Continuation-in-part(CIP) of prior application No.: Which is a: ☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: Enclosed are: **Application Elements** Filing fee as calculated and transmitted as described below 2. Specification having 28 pages and including the following: a. 🔀 Descriptive Title of the Invention Cross References to Related Applications (if applicable) b. □ Statement Regarding Federally-sponsored Research/Development (if applicable) c. 🔲 Reference to Microfiche Appendix (if applicable) d. □ e. 🛛 Background of the Invention f. 🔯 Brief Summary of the Invention g. 🛛 Brief Description of the Drawings (if drawings filed) h. 🛛 **Detailed Description** Claim(s) as Classified Below i. 🛛 Abstract of the Disclosure j. 🛛

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Application Elements (Continued)								
3.	×	Dra	wing(s) <i>(when ne</i>	cessary as prescribed l	by 35 US	C 113)		
	a.		Formal	Number of Sheets			_	
	b.	×	Informal	Number of Sheets		9	-	
4.		Oat	h or Declaration					
	a.		Newly executed	(original or copy)	☐ Un	executed		
	b.		Copy from a price	or application (37 CFR	1.63(d)) (for continuation/divis	sionalapplication only)	
	C.		With Power of A	ttorney 🗀 Withou	ut Power	of Attorney		
though thereby thenty!	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).						
5.		The Box	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
6.		Cor	Computer Program in Microfiche (Appendix)					
7.		Nuc	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)					
Menth Water	a.	☐ Paper Copy						
The second	b.	☐ Computer Readable Copy (identical to computer copy)						
	c. Statement Verifying Identical Paper and Computer Readable Copy							
				Accompanyi	ng Appli	cation Parts		
8		Ass	Assignment Papers (cover sheet & document(s))					
9		37	37 CFR 3.73(B) Statement (when there is an assignee)					
10		Eng	English Translation Document (if applicable)					
11	. 🗆	Info	Information Disclosure Statement/PTO-1449 Copies of IDS Citations					
12	. 🗆	Preliminary Amendment						
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Accompanying Application Parts (Continued)								
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.		Additional Enclosures (please identify below):						
and a		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)						
on 17.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.						
, comm		Warning						
n span state atta state. B		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.						

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		Fee Calculat	tion and Tra	nsmittal			
For		CLAIMS A	S FILED				
For	#Filed	#Allowed	#Extra	Rate		Fee	
Total Claims	50	- 20 =	30	x \$18.00		\$540.00	
Indep. Claims	5	- 3 =	2	× \$80.00		\$160.00	
Multiple Dependent	Claims (check i	f applicable) 🗆	<u> </u>			\$0.00	
					BASIC FEE	\$710.00	
OTHER FEE (spec	ify purpose)					\$0.00	
-				TOTA	L FILING FEE	\$1,410.00	
 □ A check in the amount of to cover the filing fee is enclosed. ☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0473 as described below. A duplicate copy of this sheet is enclosed. ☑ Charge the amount of \$1,410.00 as filing fee. ☑ Credit any overpayment. ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. □ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, 							
pursuant to 37 C.F.R. 1.311(b). Timothy L. Scott Reg. No. 37,931							
SULZER MEDICA USA INC. 3 East Greenway Plaza, Suite 1600 Houston, Texas 77046 Phone: (713) 561-6374							
			Fa	x: (713) 561-6380			

Serial No. Filing Date Examiner Group Art Unit Invention: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME I hereby certify that the following correspondence: patent application (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under	1	CERTIFICATEOF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Michalsky, et al. CMI-470							
I hereby certify that the following correspondence: patent application (Identify type of correspondence)		Serial No.	Filing Date	Examiner					
patent application (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on (D)	Inv	Invention: APPARATUS FOR TESTING PROSTHETIC HEART VALVES, AND METHODS OF USING SAME							
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on (D)		hereby certify that the	e following correspondence:						
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on (D) (D) (Date) Heather Green (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EK381100565US ("Express Mail" Mailing Label Number)		patent application							
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on Color Color (Date) Heather Green (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EK381100565US ("Express Mail" Mailing Label Number)	L.		(Identify type (of correspondence)					
Note: Each paper must have its own certificate of mailing.		is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under							
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